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## A Theory of Invariants.

By LEONARD EUGENE DICKSON.

## General Theory, §§ 1-6.

1. Consider a system S of forms  $f_1, \ldots, f_s$  on m variables, where  $f_i$  is the general polynomial of degree  $d_i$ , having as coefficients arbitrary parameters in any given field F, finite or infinite. Let L be any given group of m-ary linear homogeneous transformations with coefficients in F. The particular systems S', S'', ..., obtained from the general system S by assigning to the coefficients particular sets of values in the field, may be separated into classes  $C_i$  under the group L, such that S' and S'' belong to the same class if and only if they are equivalent under L.

We shall employ the term function in Dirichlet's sense of correspondence and shall consider only single-valued functions taking exclusively values in the field F.

Let the function  $\phi$  have one and only one value in field for each of the systems S', S'', ... In particular, if, for each i,  $\phi$  has the same value  $v_i$  for all the systems in the class  $C_i$ , then  $\phi$  is an invariant under the group L.

For a finite field such an invariant is a rational integral function of the coefficients of S, an explicit expression for which is given in § 4. More convenient expressions may be given when S is a special system (§§ 10-18).

2. Let the invariants  $I_1, \ldots, I_r$  completely characterize the classes  $C_i$ , i.e., each  $I_k$  has the same value for two classes only when the latter are identical. Let  $\phi$  be any invariant of the kind defined in § 1. Then  $\phi$  takes one and only one value for each class, while there is one and only one class for each set of values of the  $I_k$ . Hence  $\phi$  is a single-valued function of  $I_1, \ldots, I_r$ , as related.

In particular, let  $\phi$  be a rational integral function of the coefficients of S. Let  $\phi$  be of degree  $a_{ij}$  in the coefficient  $a_{ij}$ . Then  $\phi$  is completely determined by  $N = \Pi(a_{ij} + 1)$  distinct\* sets of values of the  $a_{ij}$  in the field. By means of the resulting N sets (not necessarily distinct) of values of  $I_1, \ldots, I_r$ , we may construct by a general interpolation formula (§ 3) a polynomial in  $I_1, \ldots, I_r$  which takes the same values as  $\phi$  for the N sets. Hence any rational integral invariant is a rational integral function of  $I_1, \ldots, I_r$ .

3. Interpolation for Any Number of Variables. We construct a polynomial in x, y, z, ..., which takes prescribed values for a finite number of distinct sets of values of the variables. Consider first the case of two variables. Let x take the distinct values  $x_1, ..., x_r$ . When  $x = x_i$ , let y take the distinct values  $y_{i1}, ..., y_{is_i}$ . We desire a polynomial P(x, y), which shall take the value  $v_{ij}$  when  $x = x_i$ ,  $y = y_{ij}$ , for each set of subscripts i, j. By any interpolation formula in one variable y, construct for each i a polynomial  $Y_i(y)$  which takes the value  $v_{ij}$  for  $y = y_{ij}$   $(j = 1, ..., s_i)$ . For example, Lagrange's formula gives

$$Y_{i}(y) = \sum_{j=1}^{s_{i}} v_{ij} \prod_{J=1, \dots, s_{i}; J^{+j}} \left( \frac{y - y_{iJ}}{y_{iJ} - y_{iJ}} \right). \tag{1}$$

Next, we construct a polynomial P in x which becomes  $Y_i$  for  $x = x_i$ . If we again use Lagrange's formula, we have

$$P(x,y) = \sum_{i=1}^{r} Y_i(y) \prod_{I=1,\dots,r;\ I \neq i} \left( \frac{x - x_I}{x_i - x_I} \right). \tag{2}$$

When the function (1) is inserted into (2), we obtain the required interpolation formula for two variables. The extension to three or more variables is obvious. Speaking geometrically, we first interpolate for the points in each line parallel to one of the Cartesian axes of coordinates, then for the various lines in a plane parallel to a coordinate plane, etc.

4. An important case is that in which each variable ranges independently over the  $p^n$  elements  $e_i$  of the Galois field  $GF[p^n]$ . Then\* in (1) the product may be given the form  $(y^{p^n}-y)/(e_j-y)$ . Thus

$$P(x,y) = \sum_{i,j=1}^{p^n} v_{ij} \frac{(x^{p^n} - x)(y^{p^n} - y)}{(e_i - x)(e_i - y)}.$$
 (3')

In general, the integral function obtained from

$$P(x_1, \ldots, x_s) = \sum_{i_1, \ldots, i_s}^{1, \ldots, p^n} v_{i_1, \ldots, i_s} \frac{(x_1^{p^n} - x_1) \ldots (x_s^{p^n} - x_s)}{(e_{i_s} - x_1) \ldots (e_{i_s} - x_s)},$$
(3)

takes the value  $v_{i_1, \ldots, i_s}$  for  $x_1 = e_{i_1}, \ldots, x_s = e_{i_s}$ . Any polynomial, of degree  $\leq p^n - 1$  in each x, which takes these values v is identical with P.

For a system S of forms in a finite field the investigation of invariants, which take one and but one value in the field for each set of values of the coefficients, may therefore be restricted to polynomials in the coefficients. The characteristic\* invariant  $I_k$  for the class  $C_k$  is that invariant which has the value unity for the class  $C_k$  and the value zero for the remaining classes. Let  $a_1, \ldots, a_s$  be the coefficients in the system S;  $a_1^{(k)}, \ldots, a_s^{(k)}$  the coefficients in a system of forms in the class  $C_k$ . Then by (3),

$$I_{k} = \sum_{a_{1}^{(k)}, \dots, a_{s}^{(k)}} \prod_{j=1}^{s} \frac{a_{j}^{p^{n}} - a_{j}}{a_{j}^{(k)} - a_{j}}.$$
 (4)

In particular, for the class  $C_0$ , composed of the system of forms with all coefficients zero, the characteristic invariant is

$$I_0 = \prod_{j=1}^{s} (1 - a_j^{p^n - 1}). \tag{4'}$$

From the definition of the  $I_k$  or from their expressions (4), we have

$$I_k^2 = I_k, \quad I_k I_l = 0 \ (l \neq k), \quad \sum_{k=0}^{f-1} I_k = 1,$$
 (5)

where f denotes the number (necessarily finite) of the classes.

Any invariant I takes certain values  $v_0, \ldots, v_{f-1}$  for the classes  $C_0, \ldots, C_{f-1}$ , so that  $I = \sum v_k I_k$ . Any invariant can be expressed in one and but one way as a linear homogeneous function of the characteristic invariants. The number of linearly independent invariants equals the number of classes.

The fact that any invariant is a linear function of the  $I_k$  also follows from (5) and the general theorem in §2, since the present set of  $I_k$  is a special case of the set there discussed.

5. When the group L is the group G of all m-ary linear homogeneous transformations in the field F, the invariants, defined in §1, are absolute invariants of the system S. When L is the group  $G_1$  of all the transformations of determinant unity, those invariants of  $G_1$  which are multiplied by  $\Delta^w$  under any transformation of determinant  $\Delta$  are relative invariants of weight w of the system S.

The theory of the characteristic invariants enables us to prove (Transactions, l.c.) that when F is the  $GF[p^n]$ , the number of linearly independent invariants, relative and absolute, equals the number of classes under the group  $G_1$ .

<sup>\*</sup> Transactions Amer. Math. Soc., Vol. X (1909), p. 126. The existence of the invariants was there established, but not the explicit formulæ (3), (4).

In the special systems investigated below, we do not presuppose this result, but obtain a direct verification of it.

6. In the investigation of the invariants of a system of forms in a field F under a group L, the chief aim is the determination of a fundamental system of independent invariants\* (all invariants being single-valued functions of these) and a complete set of independent relations between them. A practical method of determining these relations for the case of a finite field may be based upon the knowledge of a complete set of linearly independent invariants. As we shall usually not go to the trouble (in general very great) to determine † the characteristic invariants, we propose the following procedure ‡ for the determination of a complete set of linearly independent invariants, which establishes automatically their linear independence.

Let the classes be separated into sets  $K_1, K_2, ..., K_l$  (in practice by specifying the ranges of certain parameters) and let there be associated with  $K_i$  a set  $V_i$  of linearly independent invariants, in number equal to the number of classes in  $K_i$ , and such that every invariant in the set  $V_i$  vanishes for all sets  $K_j$  (j > i). The aggregate of the invariants in the sets  $V_i$  constitutes a complete system of linearly independent invariants. Indeed, their number is the total number of classes, while any linear relation has its coefficients all zero, as shown by employing in turn the classes in  $K_i, K_{i-1}, ..., K_1$ . For instance, for the classes in  $K_i$ , the invariants in  $V_1, ..., V_{i-1}$  vanish, and those in  $V_i$  are linearly independent.

In particular, if L is the group  $G_1$  (§ 5), we obtain a complete set of linearly independent relative and absolute invariants, when the chosen invariants of the sets  $V_i$  are of that kind.

Field || C of all Real and Complex Numbers.

7. Consider a single quadratic form on m variables. Denote its determinant by D. Within the group  $G_1$ , a complete set of canonical types is

$$Dx_1^2 + x_2^2 + ... + x_m^2 (D \neq 0), \quad x_1^2 + ... + x_i^2 (i = 1, ..., m - 1), \quad 0.$$

<sup>\*</sup>To be chosen on the basis of their expressing fundamental properties of the system of forms, rather than the simplicity of their expressions or the smallness of their number.

<sup>†</sup> More explicitly than by the general expression (4).

<sup>‡</sup> First employed in my paper on the modular invariants of the general system of q linear forms in m variables,  $Proc.\ Lond.\ Math.\ Soc.$ , 1909.

<sup>§</sup> Tested by employing the classes in  $K_i$  alone.

<sup>||</sup> The discussion in §§ 7-9 applies also to the infinite field  $F_p$  given by the aggregate of the Galois fields of orders  $p^n$ ,  $n=1,2,3,\ldots$ , provided p < m (also to certain fields contained in  $F_p$ ).

The classes are completely characterized by the invariants D and r, where r is the rank of the matrix of the form. Thus D and r form a fundamental system of invariants. A complete set of independent relations is

$$r(r-1) \dots (r-m) = 0$$
,  $rD = mD$ .

A polynomial in D and r therefore equals the sum of a polynomial in D and a polynomial in r. Thus (§ 2) the only rational integral invariants are the polynomials in D. The characteristic invariant for  $x_1^2 + ... + x_i^2$  (i < m), including 0 for i = 0, is

$$\Pi\left(\frac{r-t}{i-t}\right) \quad (t=0, 1, ..., m; t \neq i).$$

8. A binary cubic form in C can be transformed within  $G_1$  into one of the canonical types  $x^3$ , xy(x+ry), 0, where r is a particular square root of the discriminant D. The corresponding classes may be designated T,  $C_D$ , V. Let m be the function of the coefficients which specifies the maximum multiplicity of a linear factor, viz., m=3, 2, 1, 0 for classes T,  $C_0$ ,  $C_D(D\neq 0)$ , V, respectively. Hence D and m form a fundamental system of invariants. A complete set of independent relations is

$$m(m-1)(m-2)(m-3) = 0, \quad mD = D.$$

Thus  $P(D, m) = P_1(D) + P_2(m)$ , where the P's denote polynomials. Hence (§ 2) the only rational invariants are  $P_1(D)$ . The characteristic invariants for T,  $C_0$ , V are

$$\frac{1}{6}m(m-1)(m-2), -\frac{1}{2}m(m-1)(m-3), -\frac{1}{6}(m-1)(m-2)(m-3).$$

9. For the field C we consider a pair of binary quadratic forms with the determinants a, b, bilinear invariant  $\theta$ , and resultant R (§ 13).

If  $R \neq 0$ , the family has two independent unary forms, which become multiples of  $x^2$  and  $y^2$  under a transformation of  $G_1$ . Then

$$q_1 = a_0 x^2 + a_2 y^2$$
,  $q_2 = b_0 x^2 + b_2 y^2$ ,  $R = -(a_0 b_2 - a_2 b_0)^2 \neq 0$ .

Applying (y, -x) if necessary, we may set  $a_0 \neq 0$ . Applying  $(\rho x, \rho^{-1}y)$ , where  $\rho^2 = a_0^{-1}$ , we obtain a similar pair with  $a_0 = 1$ , viz.,

$$Q_1 = x^2 + ay^2$$
,  $Q_2 = B_0 x^2 + B_2 y^2$ ,  $B_0 B_2 = b$ ,  $B_2 + aB_0 = \theta$ .

If a=0, then  $\theta^2=-R$ ,  $\theta\neq 0$ ,  $B_2=\theta$ ,  $B_0=b/\theta$ . If  $a\neq 0$ ,

$$B_0 = (\theta \pm \sqrt{-R})/(2a), \quad B_2 = (\theta \mp \sqrt{-R})/2.$$

But the two resulting pairs of forms are interchanged by  $(a^{1/2}y, -a^{-1/2}x)$ . Hence the invariants  $a, b, \theta$  completely characterize the classes with  $R \neq 0$  under  $G_1$ .

If the rank  $r_1$  of  $q_1$  is zero, so that  $q_1 \equiv 0$ , the classes are characterized by  $r_1 = 0$  and the values of b,  $r_2$  (§ 7). For use in characterizing\* the classes in which  $q_1 \not\equiv 0$ ,  $q_2 = cq_1$ , let M = c in that case, but M = 0 if  $q_1 \equiv 0$  or if  $q_1 \not\equiv 0$  and  $q_2$  is not a multiple of  $q_1$ . According to the definition in § 1, M is an invariant. We may also define M by means of the pencil  $\lambda q_1 + \mu q_2$ ,  $\lambda$  and  $\mu$  being arbitrary variables; if the functions  $\lambda a_i + \mu b_i$  have a common divisor of the form  $\lambda + c\mu$ , we take M = c; in the contrary case, we take M = 0.

It remains to consider the case R = 0, M = 0,  $r_1 > 0$ ,  $r_2 > 0$ , so that neither  $q_1$  nor  $q_2$  vanishes identically, and  $q_2$  is not a multiple of  $q_1$ . Then

$$d = a_0 b_1 - a_1 b_0$$
,  $e = a_0 b_2 - a_2 b_0$ ,  $f = a_1 b_2 - a_2 b_1$  (6)

are not all zero, viz., the Jacobian of  $q_1$  and  $q_2$  is not identically zero. We have the relations  $R = 4df - e^2, \quad a_0 f - a_1 e + a_2 d = 0. \tag{7}$ 

Multiply (7<sub>1</sub>) by  $a_0^2$  and eliminate f by (7<sub>2</sub>). Then since R=0,

$$\delta^2 = -4ad^2, \quad \delta \equiv a_0 e - 2a_1 d. \tag{8}$$

For the present case, a and b are not both zero.

(i)  $a \neq 0$ . First, let  $a_0 \neq 0$ . Then  $d \neq 0$ . Let  $r = \delta/(2d)$ . Then r is a particular square-root of -a. Applying the transformation of determinant unity,

$$x = \frac{r+a_1}{a_0}X + \frac{r-a_1}{2r}Y, \quad y = -X + \frac{a_0}{2r}Y,$$
 (9)

we get (since  $r^2 = -a$ )

$$q_1 = 2rXY, \quad q_2 = \beta_0 X^2 - r^{-1}\theta XY + \beta_2 Y^2,$$
 (10)

$$\beta_0 = (\delta - 2rd)/a_0^2, \quad \beta_2 = (\delta + 2rd)/(4r^2).$$
 (11)

Inserting the special value of r, we have  $\beta_0 = 0$ ,  $\beta_2 \neq 0$ . Applying a transformation  $(\rho, \rho^{-1})$ , we obtain

$$Q_1 = 2rXY, \quad Q_2 = -r^{-1}\theta XY + Y^2.$$
 (12)

Next, let  $a_0 = 0$ , so that  $a_1 \neq 0$ . Eliminating e from (7), we get

$$dk = 0$$
,  $k \equiv 4 a_1^2 f - a_2^2 d$ .

The factors d, k are not both zero. If d = 0, so that  $b_0 = 0$ , the transformation of determinant unity  $x = X - \frac{1}{2} a_1^{-1} a_2 Y$ , y = Y (13)

<sup>\*</sup> It suffices to employ M alone if  $M \neq 0$ , but  $r_1 > 0$ , a,  $r_2 = 0$ , if M = 0.

replaces  $q_1$ ,  $q_2$  by (10) with  $r = a_1$ ,  $\beta_0 = b_0$ ,  $\beta_2 = k/(4a_1^3) \neq 0$ . If  $d \neq 0$ , so that k = 0, the transformation

$$x = \frac{1}{2} a_1^{-1} a_2 X + Y, \quad y = -X$$

replaces  $q_1$ ,  $q_2$  by (10) with  $r = -a_1$ ,  $\beta_0 = k/(4a_1^3)$ ,  $\beta_2 = b_0 \neq 0$ . In the last two cases we obtain (12) with  $r = a_1$  and  $-a_1$ , respectively.

In every case we have obtained the canonical type (12) in which r is a particular square-root of the invariant -a. No transformation of  $G_1$  replaces (12) to a like pair with the parameter -r. The additional invariant  $V_a$  necessary to characterize the classes may be defined by its values as follows: If  $R \neq 0$ , or  $M \neq 0$ , or  $r_2 = 0$ , or a = 0, set  $V_a = 0$ . If R = M = 0,  $r_2 > 0$ ,  $a \neq 0$ , set

$$V_a = \delta/(2d)$$
 for  $a_0 \neq 0$ ,  $V_a = a_1$  for  $a_0 = d = 0$ ,  $V_a = -a_1$  for  $a_0 = 0$ ,  $d \neq 0$ .

Since  $V_a$  has the same value for all sets of forms in a class, it is an invariant. For the corresponding rational integral invariant in a finite field, see § 14.

(ii)  $b \neq 0$ . We employ the invariant  $V_b$  derived from  $V_a$  by interchanging the a's and b's. By modifying our definition of  $V_a$  when a = 0, we could make a single invariant cover both cases  $a \neq 0$  and a = 0,  $b \neq 0$ .

We have now shown that a complete system of invariants is given by

$$a, b, \theta, r_1, r_2, M, V_a, V_b.$$
 (14)

Invariants of Two Binary Quadratic Forms in the  $GF[2^n]$ .

10. Since the modulus is 2, the forms are designated

$$q_1 = a_0 x^2 + a_1 xy + a_2 y^2, \quad q_2 = b_0 x^2 + b_1 xy + b_2 y^2.$$
 (15)

A single form  $q_1$  has the invariants,\* the third being (4'),

$$a_1, \quad H_a = \chi(a_0 a_1^e a_2), \quad I_a = \prod_{i=0, 1, 2} (1 - a_i^m),$$
 (16)

where  $e=2^n-3$  if n>1, e=1 if n=1, while

$$m=2^n-1, \quad \chi(s)=\sum_{i=0}^{n-1}s^{2^i}.$$

The necessary and sufficient condition that  $q_1$  be irreducible in the field is  $H_a=1$ ; that it be the product of two distinct linear factors,  $H_a=0$ ,  $a_1\neq 0$ ; that it be a perfect square,  $a_1=I_a=0$ ; that it vanish identically,  $I_a=1$  Within the group  $G_1$ , the corresponding canonical forms are

$$Q_1 = a_1^2 x^2 + a_1 xy + cy^2, \quad a_1 xy, \quad x^2, \quad 0, \tag{17}$$

<sup>\*</sup> Concerning the invariants here employed, see American Journal of Mathematics, Vol. XXXI (1909), pp. 109, 115. This paper is cited henceforth as A. J.

where  $a_1 \neq 0$  and c is a particular solution of  $\chi(c) = 1$ . Hence, by § 2, the three invariants (16) form a fundamental system of invariants of  $q_1$ .

When  $q_1$  is transformed within  $G_1$  into one of the types (17), let  $q_2$  become

$$Q_2 = B_0 x^2 + b_1 xy + B_2 y^2.$$

For (17<sub>1</sub>) it suffices to normalize

$$Q_2 - a_1^{-1} b_1 Q_1 = l^2$$
,  $l \equiv rx + sy$ .

For the eliminant of  $Q_1$ , l and the resultant of  $q_1$ ,  $q_2$ , we have

$$E = a_1^2 s^2 + a_1 r s + c r^2, \quad R = E^2.$$

If E=0, then r=s=0 by the irreducibility of  $Q_1$ , so that the forms are already in their canonical form (I). If  $E\neq 0$ ,

$$\begin{pmatrix} ksa_1 & krca_1^{-1} \\ kra_1 & k(r+sa_1) \end{pmatrix}$$
,  $k \equiv E^{-\frac{1}{2}}$ 

is an automorph of  $Q_1$ , has determinant unity, and replaces l by  $E^{1/2}a_1^{-1}y$ . Hence, if  $q_1$  is irreducible, the canonical type is

(I) 
$$Q_1 = a_1^2 x^2 + a_1 xy + cy^2$$
,  $Q_2 = a_1^{-1} b_1 Q_1 + a_1^{-2} R^{1/2} y^2$   $(a_1 \neq 0)$ .

As in A. J., § 18, § 19 (with k=1), the remaining canonical types are

(II), (III) 
$$Q_1 = a_1 xy$$
,  $Q_2 = x^2 + b_1 xy + a_1^{-2} Ry^2$ , or  $b_1 xy$   $(a_1 \neq 0)$ ;

(IV) 
$$Q_1 = x^2$$
,  $Q_2 = b_1^2 c R^{-1/2} x^2 + b_1 xy + R^{1/2} y^2$   $(b_1 R \neq 0)$ ;

(V), (VI) 
$$Q_1 = x^2$$
,  $Q_2 = b_1 xy + R^{1/2} y^2$  ( $b_1$ ,  $R$  not both 0), or  $b_0 x^2$ ;

(VII)-(X) 
$$Q_1 = 0$$
,  $Q_2 = b_1^2 x^2 + b_1 xy + cy^2$ ,  $b_1 xy$ ,  $x^2$ ,  $0$   $(b_1 \neq 0)$ .

To construct the additional invariant required by the type VI, we may proceed as with M in § 9, or as follows. The relation

$$I_{a+kb} = I_a + I_a(I_b + 1)k^m + \sum_{r=1}^{m} k^r Z_r \quad (k^{m+1} \equiv k)$$

defines certain absolute invariants  $Z_r$ . But  $Z_r = Z_1^r$ , as shown in A. J., § 21; while  $Z_1$  has the following characteristic properties: If  $q_1$  is not identically zero,  $Z_1 = t$  when  $q_2 \equiv tq_1$ ,  $Z_1 = 0$  when  $q_2$  is not a constant multiple of  $q_1$ ; if  $q_1 \equiv 0$ , then  $Z_1 = 0$ . Thus  $Z_1 = (1 - R^m) a_1^{-1} b_1$  for type I,  $a_1^{-1} b_1$  for III,  $b_0$  for VI, zero for the remaining types.

We thus employ  $Z_1$  to differentiate the types VI from each other, and type II with R=0,  $b_1 \neq 0$  from type III with  $b_1 \neq 0$ . The invariants

$$a_1, H_a, I_a, b_1, H_b, I_b, R, Z_1$$
 (18)

completely characterize the types I, ..., X and hence (§ 2) form a fundamental system of invariants of forms (15) in the  $GF[2^n]$ .

These eight invariants are independent  $(A. J., \S 23)$ . By employing invariants whose interpretation is not so immediate, we may obtain  $(A. J., \S 32)$  a fundamental system of six invariants (four if n = 1).

11. THEOREM. The  $2^{3n+1} + 2^{2n}$  invariants\* in the accompanying table form a complete set of linearly independent invariants of two binary quadratic forms in the  $GF[2^n]$ .

The following table is arranged according to the principle in  $\S$  6. The number N of canonical types specified in any line equals the number of invariants in that line, the linear independence of the latter being readily established by inserting the values of the invariants for the forms in that line. The linear independence of all the invariants then follows from the fact that each invariant vanishes for all the types in the later lines of the table.

PAIRS OF FORMS.	Invariants.	Number.
$I, H_b = 1$	$H_a H_b a_1^i b_1^j R^e$	$m^2 2^{n-1}$
$I, H_b = 0, b_1 \neq 0$	$H_a a_1^i b_1^{j+1} R^e$	$m^2  2^{n-1}$
$I, b_1 = 0$	$H_a a_1^i R^j,  H_a a_1^i I_b$	$m~2^n$
III	$a_1^{i+1}I_b$ , $a_1^{i+1}Z_1$ , $a_1^ib_1^jZ_1(j>0)$	$m2^n$
II, $H_b = 1$	$H_b a_1^{i+1} b_1 R^e$	$m^2 2^{n-1}$
II, $H_b = 0$ , $b_1 \neq 0$	$a_1^{i+1}b_1^{j+1}\dot{R}^e$	$m^2 2^{n-1}$
$II, b_1 = 0$	$a_1^{i+1}R^j$ , $a_1^{i+1}R^m$	$m~2^n$
VII	$I_ab_1^iH_b$	m
VIII	$I_ab_1^{i+1}$	m
X	$\emph{\textbf{I}}_{a}\emph{\textbf{I}}_{b}$	1
IX	$I_a$ .	1
IV	$H_bb_1^{m i}R^{m j}$	$m^2$
$\nabla, R \neq 0$	$b_1^i R^{j+1}, \ b_1^m R^{j+1}$	$m{m} \ 2^n$
V, R=0	$b_1^{i+1}$	m
VΊ	$I_b,\;Z_{ m i}^j$	$2^n$

Throughout the table the exponents have the ranges

$$i, j = 0, 1, \ldots, m-1; e = 0, 1, \ldots, 2^{n-1}-1.$$

<sup>\*</sup> Identical with the set (82) of A. J., § 28, there proved complete for  $n \le 3$ . For n = 1, we may delete  $a_1 Z^1$  from the fourth line of the table and insert  $Z_1$ , in view of (69), A. J.

On account of the complexity of the expressions for  $H_b$ , we have made three subdivisions for types I and II. Since  $\chi(c) = 1$ ,  $\chi^2 = \chi$ , we have for I,

$$H_b = \chi(cb_1^m) + \chi(a_1^{-1}b_1^{2^n-2}R^{1_2}) = b_1^m + \chi(a_1^{-2}b_1^{2^n-3}R),$$

the final term being also the value of  $H_b$  for type II. Hence in lines 1, 2, 5, 6 of the table, R satisfies an equation of degree  $2^{n-1}$ .

Relations (68)-(73) of A.J., § 25, together with  $b_1Z_1 = a_1Z_1$  for n = 1, enable us to express any product of the invariants (18) as a linear function of those in the table.

One Binary Quadratic Form in the  $GF[p^n]$ , p > 2.

12. For a single form in the  $GF[p^n]$ , p > 2,

$$q_1 = a_0 x^2 + 2a_1 xy + a_2 y^2 \quad (a = a_0 a_2 - a_1^2), \tag{19}$$

the canonical types within the group  $G_1$  are

$$x^2 + ay^2 \ (a \neq 0), \ x^2, \ \nu_1 x^2, \ 0 \ (\nu_1 \text{ a fixed not-square}),$$
 (20)

according as respectively  $a \neq 0$ , -Q = square, -Q = not-square, or a = Q = 0, where Q denotes the absolute invariant  $(A. J., \S 7)$ :

$$Q = (a_0^{\tau} + a_2^{\tau}) \{ \sum_{i=0}^{\tau} a_0^i a_2^i a_1^{2\tau - 2i} - 1 \} \qquad [\tau = \frac{1}{2} (p^n - 1)].$$
 (21)

Hence a and Q form a fundamental system (§ 2). The identically vanishing type may be characterized by I = 1, where

$$I = 1 - a^{2\tau} - Q^2 = (1 - a_0^{2\tau})(1 - a_1^{2\tau})(1 - a_2^{2\tau}). \tag{22}$$

A complete set of linearly independent invariants is (§ 6)

$$a^i \ (i=1,\ldots,\ 2\tau), \ \ Q, \ \ Q^2, \ \ 1;$$

any relation between them follows from

$$a^{2\tau+1} = a$$
,  $aQ = 0$ ,  $Q^3 = Q$ .

The last two are proved by multiplying (22) by a and Q, respectively.

Two Binary Quadratic Forms in the  $GF[p^n]$ , p > 2, §§ 13-17.

13. Consider a pair of forms defined by (19) and

$$q_2 = b_0 x^2 + 2b_1 xy + b_2 y^2 \quad (b = b_0 b_2 - b_1^2). \tag{23}$$

They have the simultaneous invariant  $\theta$  and resultant R:

$$\theta = a_0 b_2 - 2a_1 b_1 + a_2 b_0, \quad R = 4ab - \theta^2.$$
 (24)

Invariants (21) and (22) will now be designated  $Q_a$  and  $I_a$ . For  $q_2$  we have invariants  $Q_b$  and  $I_b$ . We define absolute invariants  $K_i$  by

$$Q_{a+kb} = Q_a + k^{\tau} Q_b + \sum_{i=1}^{2\tau} k^i K_i \quad (k^{2\tau+1} \equiv k). \tag{25}$$

The values of certain  $K_i$  for various types are given in A. J., §§ 8-11.

14. First, let -a be a square  $\neq 0$  in the field. For  $a_0 \neq 0$ , let r be a particular element for which  $r^2 = -a$ , and employ formulae (9)-(11). For  $a_0 = 0$ , set  $r = a_1$ , and employ (13). In either case, we reach type (10). Within the group  $G_1$ , a pair (10) is transformed into a similar pair only by  $(\rho X, \rho^{-1} Y)$ , whence r,  $\beta_0^{\tau}$ ,  $\beta_2^{\tau}$  are unaltered, and by  $(\rho Y, -\rho^{-1} X)$ , whence r is changed in sign and  $\beta_0^{\tau}$ ,  $\beta_2^{\tau}$  interchanged. In each case,  $t = r(\beta_0^{\tau} - \beta_2^{\tau})$  is unaltered. We therefore seek an invariant  $V_a$  of the general pair of forms such that  $V_a = 0$  when -a is zero or a not-square, and  $V_a = t$  when  $-a = r^2$ . We thus set

$$V_a = \frac{1}{2} \{ 1 + (-a)^r \} W. \tag{26}$$

First, let the coefficients be such that -a is the square of an element  $r \neq 0$ . Then  $V_a = W$ . For  $a_0 \neq 0$ , we may employ (11) and get t = F,

$$F = r\{(\delta - 2rd)^r - (\delta + 2rd)^r\}. \tag{27}$$

Since F involves only even powers of r, it equals a polynomial in the original coefficients. For  $a_0 = 0$ , we apply (13) and get t = L,

$$L = a_1 \{ b_0^{\tau} - (a_2^2 b_0 - 4 a_1 a_2 b_1 + 4 a_1^2 b_2)^{\tau} \}.$$

For any  $a_0$ ,  $W \equiv F + M(1 - a_0^{27})$ . Set  $a_0 = 0$ ; then  $L = F_1 + M$ , where  $F_1$  is the value  $a_1 b_0^r$  of F for  $a_0 = 0$ . Hence

$$W \equiv F - a_1(a_2^2 b_0 - 4a_1 a_2 b_1 + 4a_1^2 b_2)^{\tau} (1 - a_0^{2\tau}). \tag{28}$$

Next, let a = 0. Then F = 0,  $W^2 = 0$ , since

$$a_1^2(1-a_0^{2\tau})=(a_1^2-a_0a_2)(1-a_0^{2\tau}).$$

Hence the invariant  $V_a$  with the prescribed values is given by (26)-(28). Its weight is 1. In particular, for  $p^n=3$  and  $p^n=5$ , we obtain, respectively,

$$V_a = b_1(a_1^2 + a_0 a_2)(a_2 - a_0) + a_1 b_2(a_0^2 - 1) + a_1 b_0(1 - a_2^2), \tag{29}$$

$$\begin{split} V_a &= b_0^2 a_1 (1 - a_2^4) + b_2^2 a_1 (a_0^4 - 1) + (b_1^2 - 2b_0 b_2) (a_1^2 - 2a_0 a_2) a_1 (a_0^2 - a_2^2) \\ &\quad + b_1 b_2 P - b_1 b_0 P', \end{split} \tag{30}$$

where

$$P = 2a_1^4a_2(1-a_0^4) - a_0^3a(1+a^2) = 2a_1^4a_2 + 2a_0^3a_1^2 - 2a_0a_1^2a_2^2 - a_0^2a_2^3 - a_0^4a_2, \quad (31)$$

while P' is derived from P by permuting  $a_0$  with  $a_2$  and changing the sign of  $a_1$ . By inspection, (29) and (30) are unaltered by (y, -x).

The pair (10) may be transformed by  $(\rho, \rho^{-1})$  into a pair with

$$\beta_0 = 1 \text{ or } \nu_1; \ \beta_0 = 0, \ \beta_2 = 0, 1, \nu_1 \ (\nu_1 \text{ a fixed not-square}).$$
 (32)

The resulting types are differentiated by the invariants

$$a = -r^2$$
,  $b = \beta_0 \beta_2 + \frac{1}{4} a^{-1} \theta^2$ ,  $\theta$ ,  $K_{\tau} = \beta_0^{\tau} + \beta_2^{\tau}$ ,  $V_a = r (\beta_0^{\tau} - \beta_2^{\tau})$ . (33)

15. Next, let a=0,  $I_a=0$ , so that  $q_1$  is equivalent under  $G_1$  with  $a_0x^2$ , where  $a_0=1$  or  $v_1$  according to the value of the invariant  $Q_a=-a_0^\tau$ . Within  $G_1$  an automorph of  $q_1$  transforms  $q_2$  into

$$\theta^{-1}a_0bx^2 + a_0^{-1}\theta y^2 \ (\theta \neq 0), \ 2a_0^{-\tau}V_bxy \ (\theta = 0, b \neq 0), \ 2a_0^{1-\tau}K_1x^2 \ (\theta = b = 0),$$
 (34) in which  $V_b$  is derived from  $V_a$  (§ 14) by interchanging the  $a$ 's and  $b$ 's.

If  $I_a = 1$ , so that  $q_1 \equiv 0$ , invariants b and  $Q_b$  characterize the types  $q_2$ .

16. Finally, let -a be a not-square  $\nu$ . Within the group  $G_1$ , the pair  $q_1, q_2$  can be transformed into

$$q_1' = x^2 - \nu y^2, \quad q_2' = ex^2 + 2fxy + gy^2,$$
 (35)

$$v = -a, \quad g - ev = \theta, \quad eg - f^2 = b.$$
 (36)

We enlarge the  $GF[p^n]$  to the  $GF[p^{2n}]$  by adjoining a root of

$$\varepsilon^2 = \nu. \tag{37}$$

By the transformation of determinant unity,

$$X = (x - \varepsilon y)/(2\varepsilon), \quad Y = x + \varepsilon y,$$
 (38)

$$x = \varepsilon X + \frac{1}{2}Y, \quad y = -X + \frac{1}{2}\varepsilon v^{-1}Y,$$
 (38')

the pair (35) becomes

$$Q_1 = 2\varepsilon XY, \quad Q_2 = \varkappa X^2 - \nu^{-1}\theta \varepsilon XY + \sigma Y^2, \tag{39}$$

$$\alpha = k - 2f\varepsilon$$
,  $\sigma = (k + 2f\varepsilon)/(4\nu)$ ,  $k = g + e\nu$ . (40)

The only automorphs of determinant unity of  $Q_1$  are

$$X' = \lambda X, \quad Y' = \lambda^{-1} Y. \tag{41}$$

The corresponding transformation T on the variables x, y has its coefficients in the  $GF[p^n]$  if and only if  $\lambda^{p^n} = \lambda^{-1}$ , as follows from the fact that Y is the product of  $-2\varepsilon$  and the function conjugate to X with respect to the  $GF[p^n]$ . A direct verification follows from

$$T = \begin{pmatrix} \alpha & \nu \gamma \\ \gamma & \alpha \end{pmatrix}, \quad \alpha = \frac{1}{2}(\lambda^{-1} + \lambda), \quad \gamma = \frac{1}{2}\nu^{-1}\varepsilon(\lambda^{-1} - \lambda), \quad \varepsilon^{p^n} = -\varepsilon,$$

whence  $\lambda = \alpha - \gamma \varepsilon$ ,  $\lambda^{-1} = \alpha + \gamma \varepsilon$ ,  $\lambda^{p^n} = \lambda^{-1}$ . The equation

$$\lambda^{p^n+1} = 1 \tag{42}$$

has  $p^n + 1$  solutions in the  $GF[p^{2n}]$ . By (40)

$$\sigma = \kappa^{p^n}/(4\nu), \quad \kappa^{p^n+1} = k^2 - 4\nu f^2 = \theta^2 + 4\nu b = -R. \tag{43}$$

If R = 0, then x = 0, k = f = 0,  $q'_2 = -\frac{1}{2} v^{-1} \theta q'_1$ .

Henceforth, let  $R \neq 0$ . By (43), there are  $p^n + 1$  pairs of forms (39). Since  $n \neq 0$ , (41) is an automorph of  $Q_2$  only when  $n \geq 1$ . Hence the pairs of forms (39) fall into two sets each containing  $\frac{1}{2}(p^n + 1)$  pairs equivalent under transformations (41), satisfying (42). For given values of the invariants  $n \neq 0$ , with  $n \neq 0$ , the pairs (35) fall into two non-equivalent sets under  $n \neq 0$ , the sets are differentiated by the two square roots of  $n \neq 0$ .

To prove the last statement, set  $j = \frac{1}{2}(p^n + 1)$ . Under transformation (41), subject to (42), the pair (39) is replaced by a pair with  $\kappa' = \kappa \mu$ , where  $\mu = \lambda^2$  is a root of  $\mu^j = 1$ . Hence we may restrict  $\kappa$  to two values  $\kappa_1$ ,  $\kappa_2$ , such that  $\kappa_1^j$  and  $\kappa_2^j$  are the two square roots of -R.

We proceed to the construction of an invariant  $\Omega$  of the general pair of forms  $q_1, q_2$  in the  $GF[p^n], p > 2$ , which will differentiate the two sets just mentioned. To this end, we first determine a non-vanishing of multiple of  $\kappa^j$  which is expressible rationally in terms of the coefficients of (35). Since 4 is a square and  $\nu$  a not-square,  $(4\nu)^j = -4\nu$ . Hence, by (43),

$$\kappa^{j} \pm 4\nu\sigma^{j} = \kappa^{j} \left[ 1 \mp (-R)^{\tau} \right], \quad \tau = \frac{1}{2} (p^{n} - 1). \tag{44}$$

According as -R is a not-square or a square in the  $GF[p^n]$ , we employ the upper or lower signs in (44) and see that the non-vanishing functions

$$U_1 = \varepsilon \{ (k - 2f\varepsilon)^j - (k + 2f\varepsilon)^j \}, \quad U_2 = (k - 2f\varepsilon)^j + (k + 2f\varepsilon)^j$$
 (45)

equal polynomials in  $\nu$ , e, f, g, which are unaltered by every transformation of determinant unity which replaces (35) by a like pair. Set\*

$$\Omega_1 = \frac{1}{2} [1 - (-a)^{\tau}] W_1, \quad \Omega_2 = \frac{1}{2} [1 - (-a)^{\tau}] (-a)^{2\tau} W_2,$$
 (46)

in which  $W_i$  is to be determined so that it shall equal  $U_i$  when the general pair of forms becomes (35). Now  $\binom{\alpha\beta}{\gamma\delta}$  will replace  $x^2-vy^2$  by (19), with a=-v, and will have determinant unity if

$$\alpha^2 - \nu \gamma^2 = a_0$$
,  $\beta = (a_1 \alpha + \nu \gamma)/a_0$ ,  $\delta = (\alpha + a_1 \gamma)/a_0$ .

<sup>\*</sup> Whence  $\Omega_i = 0$  if -a is a square  $\neq 0$ . For a = 0,  $W_1 = 0$ ,  $W_2 \neq 0$ ; hence in  $\Omega_2$  we insert the factor a power of -a.

The inverse  $\begin{pmatrix} \delta & -\beta \\ -\gamma & \alpha \end{pmatrix}$  replaces (19) by  $x^2 - \nu y^2$ , and (23) by  $q_2'$  with

$$f = a_0^{-2}(sd - \alpha \gamma t), \ k = a_0^{-2}(st - 4\nu \alpha \gamma d), \ t \equiv a_0\theta + 2\nu b_0, \ s \equiv \alpha^2 + \nu \gamma^2,$$

with d given by (6). Inserting the values of f, k, v = -a,  $e = (k - \theta)/(2v)$  into (45), we have the required functions  $W_i$ . The resulting forms have the disadvantage that they contain the auxiliary quantities a,  $\gamma$ . For small values of  $p^n$  we may effect the expansions, apply  $a^2 - v\gamma^2 = a_0$ , and obtain functions free of a,  $\gamma$ . We shall, however, make use of a different method of attack.

According as  $a_0$  is a square  $h^2$  or a not-square  $\nu h^2$ , we apply

$$S = \begin{pmatrix} h^{-1}, & -a_1 h^{-1} \\ 0, & h \end{pmatrix}, \quad N = \begin{pmatrix} a_1 v^{-1} h^{-1}, & h^{-1} \\ -h, & 0 \end{pmatrix}$$

to the general pair of forms (19), (23). For  $a_0 = h^2$ , we obtain (35), with

$$e = b_0/a_0$$
,  $f = (a_0 b_1 - a_1 b_0)/a_0$ ,  $g = a_0 b_2 - 2a_1 b_1 + a_1^2 b_0/a_0$ . (47)

For  $a_0 = vh^2$ , we get

$$-x^2 + vy^2$$
,  $v^{-1}gx^2 - 2fxy + vey^2$ . (48)

To the latter we apply transformation (38') and obtain

$$-2\varepsilon XY, \quad \varkappa^{p^n}X^2 + \nu^{-1}\theta\varepsilon XY + \sigma^{p^n}Y^2, \tag{49}$$

each coefficient being conjugate with the corresponding coefficient in (39). The only transformations of determinant unity multiplying XY by -1 are

$$L = (\mu Y, -\mu^{-1} X). \tag{50}$$

By a discussion similar to that for (41), we see that L corresponds to a transformation (56) on x, y with coefficients in the  $GF[p^n]$  if and only if

$$\mu^{p^{n+1}} = \frac{1}{4\nu}. (51)$$

Applying transformation L to the pair (49), we obtain

$$2 \varepsilon XY, \quad \varkappa_1 X^2 - \nu^{-1} \theta \varepsilon XY + \sigma_1 Y^2, \quad \varkappa_1 = \sigma^{p^n} / \mu^2, \quad \sigma_1 = \mu^2 \varkappa^{p^n}.$$

As noted above,  $(4\nu)^j = -4\nu$ , so that by (43) and (51),

$$\mathbf{x}_1^j = -\mathbf{x}^j, \quad \sigma_1^j = -\sigma^j.$$

Hence by (44) and (45), the functions  $U_i$  for the pair (48) are the negatives of the functions  $U_i$  for the pair (35), subject to (47). Now  $a_0^7 = +$  or -1 in the respective cases. Hence in each case the desired function  $W_i$  is  $a_0^7$  times the function obtained from  $U_i$ , in (45), by inserting the values of k and f given by (47), viz.,

$$k=\theta+2e\nu=\theta-2ab_0/a_0$$
.

Expanding the binomials and replacing  $\varepsilon^2$  by -a, we get

$$\Omega_{1} = a_{0}^{2\tau-1} [(-a)^{\tau} - 1] \sum_{s=1}^{(j+1)/2} C_{2s-1}^{j} (-a)^{s} (2d)^{2s-1} l^{j-2s+1}, 
\Omega_{2} = a_{0}^{2\tau-1} [(-a)^{2\tau} - (-a)^{\tau}] \sum_{s=0}^{j/2} C_{2s}^{j} (-a)^{s} (2d)^{2s} l^{j-2s}, 
d = a_{0} b_{1} - a_{1} b_{0}, \quad l = a_{0} \theta - 2ab_{0}.$$
(52)

Invariant  $\Omega_1$  is of weight 3,  $\Omega_2$  of weight 2. Under (x+ty, y),  $a_0$ ,  $b_0$ , d are unaltered so that each  $\Omega_t$  is unchanged. To complete this direct verification of the invariance of  $\Omega_i$ , it remains to test the transformation (y, -x). The following special forms of the  $\Omega_i$  are obviously unaltered by the substitution S which interchanges  $a_0$  and  $a_2$ ,  $b_0$  and  $b_2$ , and changes the signs of  $a_1$ ,  $b_1$ . For  $p^n = 3$ ,

$$\Omega_1 = ba_0a_1a_2(a_2 - a_0) + b_1(b_0 - b_2)(a_0a_2 + a_0^2a_2^2 + a_0a_2a_1^2),$$

the product of 1 + a by the invariant  $ba_1(a_2 - a_0) - ab_1(b_2 - b_0)$ ;

$$\Omega_2 = \{b(1-a_1^2) + b_0^2 + b_2^2\}(a_0^2a_2 + a_0a_2^2) + a_0a_1^2a_2(a_0b_2^2 + a_2b_0^2) + a_1b_1(b_0 + b_2)(a_0a_2 - a_0^2a_2^2).$$

For  $p^n = 5$ ,  $\Omega_i = a_0 a_2 \Omega_i'$ , where

$$\Omega_1' = bb_1(a_1^2 + 2a_0a_2)(a_2^2 - a_0^2) + bb_2a_1(2a_0^3 + a_2m) - bb_0a_1(2a_2^3 + a_0m) + b_1(b_0^2 - b_2^2)(a_0a_2m - 1 - 2a_1^4) \quad \lceil m \equiv a_0a_2 + 2a_1^2 \rceil,$$

$$\begin{array}{l} \Omega_2' = 2bb_1a_1(a_0^2 + a_2^2)(1 - a_0a_2a_1^2) + bb_0t + bb_2t' + b_0^3v + b_2^3v' \\ \qquad \qquad + (b_0^2 + b_2^2)b_1a_1(2a_1^2 - a_0^2a_2^2a_1^2 - a_0^3a_2^2), \end{array}$$

$$t = 2a_2^3(1-a_1^4)-2a_0^2a_2(1+a_1^4)+a_0a_1^2(1-2a_0^2a_2^2),$$
  
$$v = a_0^3-a_0a_2^2(1-a_1^4)+a_2a_1^2(a_0^2a_2^2-2),$$

t' and v' being derived from t and v by the substitution S.

The classes with -a a not-square are completely characterized by the invariants a, b,  $\theta$ ,  $\Omega_i$ . Instead of (39), we may employ canonical types with coefficients in the initial field. For the case -R a not-square, let k be a fixed element for which  $(k^2 + R)/(4\nu)$  is a square  $\rho^2$ . Then by (43), (36<sub>2</sub>), (40<sub>3</sub>),

$$f = \pm \rho, \quad e = (k - \theta)/(2\nu), \quad g = (k + \theta)/2.$$
 (53)

Hence any pair of forms with -a and -R not-squares is equivalent under  $G_1$  with one of the two pairs (35), subject to (53). In view of (45<sub>1</sub>), the sign of f is determined by the invariant  $\Omega_1$ .

For -R a non-vanishing square  $m^2$ , there occur types (35) with f=0, in view of (43). For such a type,

$$f = 0$$
,  $e = (-\theta \mp m)/(2v)$ ,  $g = (\theta \mp m)/2$   $(m = \sqrt{-R})$ . (54)

If an automorph T of  $x^2 - \nu y^2$  replaces  $ex^2 + gy^2$  by a form lacking xy, then T is either  $(\pm x, \pm y)$  or  $(\nu \gamma y, \gamma x)$ , where  $\gamma^2 \nu = -1$ . Hence the two types (35), with the specification (54), are not equivalent under  $G_1$ , if -1 is a square, and may be taken as representatives of the two classes with the invariants  $a = -\nu$ , b,  $\theta$ . Now  $\Omega_2 = 2(\mp m)^j$ , so that  $\Omega_2$  determines  $\mp m$ , since j is here odd. But if -1 is a not-square, the two preceding types are equivalent; we then employ

$$\pm (x^2 - \nu y^2), \quad \frac{1}{2} (\mp \theta + m) \nu^{-1} x^2 + \frac{1}{2} (\pm \theta + m) y^2, \tag{55}$$

m being a fixed square root of -R. The two types (55) are not equivalent under  $G_1$  when -1 is a not-square. The only transformations of determinant unity which multiply  $x^2 - \nu y^2$  by -1 are

$$\begin{pmatrix} \alpha, -\nu\gamma \\ \gamma, -\alpha \end{pmatrix}, \quad \alpha^2 - \nu\gamma^2 = -1, \tag{56}$$

viz., transformations (50) on the original variables. If (56) replaces (55<sub>2</sub>), by a form lacking xy, then  $m\alpha\gamma = 0$ , whereas  $\alpha \neq 0$ ,  $\gamma \neq 0$ , —1 and  $\nu$  being not-squares. For (55),  $\Omega_2 = \pm 2 m^j$ . Now j is even. Hence  $\Omega_2$  differentiates the two types.

THEOREM. As a fundamental system of invariants of a pair of binary quadratic forms in the  $GF[p^n]$ , p > 2, we may take\*

$$a, b, \theta, Q_a, Q_b, K_1, K_7, V_a, V_b, \Omega_1, \Omega_2.$$
 (57)

17. To determine a complete set of linearly independent invariants, we employ the method of §6. The invariants in any line of the table are linearly independent, equal in number to the classes in that line, and vanish for the classes in the later lines.

CLASSES.	Invariants.	Number.
-a, $-R$ not-squares -R a square, $-a$ not -a not-square, $R = 0-a = $ square $(34_1)$ $(34_2)$ $(34_3)$ $q_1 \equiv 0$	$ \begin{vmatrix} a^s R^{\sigma}  \theta^i \Omega_1, & [(-a)^{\tau} - 1][(-R)^{\tau} - 1]  a^{s+1} R^{\sigma+1}  \theta^i \\ a^s R^{\sigma}  \theta^i \Omega_2, & [(-a)^{\tau} - 1]  a^{s+1} R^{\sigma+1}  \theta^i \\ [(-a)^{\tau} - 1]  a^{s+1}  \theta^i \\ a^{s+1}  \theta^i  (b^r,  b^t K_\tau,  b^t V_a,  K_\tau^2,  K_\tau V_a) \\ b^i  \theta^q  Q_a^e \\ b^{s+1}  Q_a^e,  b^{s+1}  Q_a^e  V_b \\ Q_a^e  K_1^e \\ b^q,  Q_b^e,  1 $	$egin{array}{ c c c c c c c c c c c c c c c c c c c$

<sup>\*</sup> In place of  $\Omega_2$ , we may employ  $K_{27}$  and  $K_1$  (in differentiating the classes at the end of this section). Cf. A.J., § 10.

The exponents take independently the following values:

$$s, \sigma = 0, 1, ..., \tau - 1; t = 0, 1, ..., \tau; i, r = 0, 1, ..., 2\tau; q = 1, ..., 2\tau; e = 1, 2.$$

The total number of linearly independent invariants is thus

$$2p^{3n}+p^{2n}+2p^n$$

Obvious linear combinations of those in the table give a simpler set.

Binary Quadratic and Linear Form in the  $GF[p^n]$ , p > 2.

18. Consider the pair of forms

$$q = a_0 x^2 + 2a_1 xy + a_2 y^2, \quad l = rx + sy. \tag{58}$$

In addition to the invariants in § 12, we have

$$R = a_0 s^2 - 2a_1 sr + a_2 r^2, \quad J = (1 - r^{\mu})(1 - s^{\mu}), \tag{59}$$

where R is the resultant of the pair (58) and  $\mu = p^n - 1$ .

We construct an absolute invariant V with the value  $\alpha$  when  $q \equiv \alpha l^2$ ,  $l \not\equiv 0$ , and with the value zero in the remaining cases. Thus

$$V = v(1 - R^{\mu})(1 - a^{\mu}), \quad a \equiv |q|.$$

To determine v consider the pairs (58) with R = a = 0; then

$$q \equiv \alpha l^2$$
,  $a_0 = \alpha r^2$ ,  $a_1 = \alpha rs$ ,  $a_2 = \alpha s^2$ . (60)

First, take  $r \neq 0$ . Then  $v = a_0/r^2$ . Hence for any r,

$$v \equiv a_0 r^{\mu-2} + k(1 - r^{\mu}).$$

Next, take r = 0,  $s \neq 0$ . Then  $v = a_2/s^2 = k$ . Thus, for any s,

$$k = a_2 s^{\mu-2} + c (1 - s^{\mu}).$$

For r=s=0, v=0 by definition. Hence c=0. Thus

$$V = (1 - R^{\mu})(1 - a^{\mu})\{a_0 r^{\mu - 2} + a_2 s^{\mu - 2}(1 - r^{\mu})\}. \tag{61}$$

Finally, we shall need an invariant K with the value  $\beta$  when

$$q = 2\beta l l_1, \quad l_1 = \gamma x + \delta y, \quad r\delta - s\gamma = 1, \quad \beta \neq 0,$$
 (62)

and with the value zero when q is not the product of two distinct linear factors one of which is  $l \not\equiv 0$ . Thus  $K = \varkappa (1 - R^{\mu})$ . To determine  $\varkappa$ , consider the pairs (58) with R = 0,  $l \not\equiv 0$ ,  $q/l^2$  not a constant. Then (62) holds, whence

$$a_0 = 2\beta r\gamma$$
,  $a_1 = \beta(r\delta + s\gamma)$ ,  $a_2 = 2\beta s\delta$ . (63)

First, take  $r \neq 0$ . Then by (62<sub>3</sub>) and (63<sub>2</sub>),  $a_1 = \beta(2s\gamma + 1)$ . Eliminating  $\gamma$  by (63<sub>1</sub>), we get  $\beta = a_1 - a_0 s/r$ . Hence, for every r,

$$\alpha = a_1 - a_0 s r^{\mu - 1} + m (1 - r^{\mu}).$$

Next, take r = 0,  $s \neq 0$ . Then  $s\gamma = -1$ ,  $\beta s\gamma = a_1$ . Thus

$$\kappa = \beta = -a_1, \quad \kappa = a_1 + m.$$

Thus, for every s,  $m = -2a_1 + d(1 - s^{\mu})$ . Finally, take r = s = 0, so that x = 0 by definition; while  $x = -a_1 + d$ . Hence  $d = a_1$ ,

$$K = (1 - R^{\mu}) \{ a_1 r^{\mu} - a_0 s r^{\mu - 1} - a_1 s^{\mu} (1 - r^{\mu}) \}. \tag{64}$$

It remains to test the pairs for which (60) holds; but the second factor in (64) then vanishes. Hence K is an invariant of weight 1.

We may now characterize invariantively a complete set of canonical types, under the group  $G_1$ , of pairs of forms (58).

$$J=0, R \neq 0:$$
  $x, R^{-1}ax^2 + Ry^2.$   
 $J=R=0, a \neq 0:$   $x, 2Kxy.$   
 $J=R=a=0:$   $x, Vx^2.$ 

For J=1, then,  $l\equiv 0$  and the types (20) for q are characterized by a and Q (§ 12).

Hence J, R, a, Q, K, V form a fundamental system of invariants. As a complete set of linearly independent invariants we may take

1, 
$$J$$
,  $Q$ ,  $Q^2$ ,  $R^i$ ,  $a^i$ ,  $R^i a^j$ ,  $K^i$ ,  $V^i$   $(i, j = 1, ..., \mu)$ . (65)

Any product of the invariants (65) can be expressed as a linear function of them by means of the following relations:

$$\begin{split} J^2 &= J, \ JQ = Q - V^{\mu/2} + R^{\mu/2}(a^{\mu} - 1), \ JR = JK = JV = 0, \\ Ja &= a(1 - R^{\mu}) + K^2, \ Q^3 = Q, \ Qa = 0, \ QR = (1 - a^{\mu})R^{1 + \mu/2}, \ QK = 0, \\ QV &= V^{1 + \mu/2}, \ RK = RV = aV = KV = 0, \ aK = -K^3, \ t^{\mu+1} = t \ (t = R, a, K, V). \end{split}$$

Defining the invariant I by (22), we have

$$(1-I)(1-J) = R^{\mu} + K^{\mu} + V^{\mu}.$$

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